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(54) Title: MONITORING OF REMOTE FILE ACCESS ON A PUBLIC COMPUTER NETWORK			
(57) Abstract			
<p>On a data network, use of remote data resources by users is monitored by rerouting a resource access request message, generated on a client system, through a logging module, collecting information about the message, and transmitting the message to a remote data resource server.</p>			

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MONITORING OF REMOTE FILE ACCESS  
ON A PUBLIC COMPUTER NETWORK

Background of the Invention

5           The invention relates to measuring visits to a web site and personal characteristics of the visitors.

          The Internet is a worldwide collection of interconnected computer networks. Every computer connected to the Internet is assigned a unique numerical  
10 address (known as the "IP address") which permits data to be transmitted in a point-to-point fashion between any two such computers. In addition, each computer may be assigned a "host name" which is an alphanumeric string which corresponds to an IP address.

15           One rapidly growing use of the Internet is the display of web pages. Web pages are data files which contain coded audiovisual information, program instructions, and hypertext links. A hypertext link is information about the location of a web page on a web  
20 site. The data in a web page is typically encoded in a format known as Hypertext Markup Language (HTML).

          A web site is a computer system which is connected to the Internet, which has one or more web pages stored in its memory, and which has the capability to transmit  
25 those web pages to another computer in response to a request received from that computer via the Internet.

          A client computer is a computer system which is connected to the Internet and which has the ability to display audiovisual information encoded in a web page. A  
30 user may access web pages by using a piece of software on a client computer called a browser. A browser communicates over the Internet with another program called a web server which runs on a web site. In response to instructions received from the user, the

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browser sends a request to a web server to transmit a specific web page from the web site on which the web server resides to the client computer. The web server responds by transmitting the web page to the client  
5 computer.

When the contents of a web page are received at a client computer, the browser translates it to an audiovisual format and displays it for the user. If the web page being displayed contains hypertext links to  
10 other web pages, the browser may also retrieve these web pages and display them as elements of the first page. If the web page contains program instructions, the browser may execute those instructions.

Typically, a browser permits a user to request the  
15 display of a particular web page via the Internet by specifying the universal resource locator (URL) of the web page. The URL is a string of characters which identifies a unique logical location of the web page on the Internet.

20 A browser also typically permits a user to retrieve and display a web page by using a pointing device (e.g. a mouse) to point to a location on a video display corresponding to a hypertext link in an already retrieved web page. By this method, a user who only  
25 knows one URL may nonetheless access a succession of web pages by following the hypertext links contained in each page. The set of all such linked pages on the Internet has come to be known as the World Wide Web.

In addition to displaying information contained in  
30 web pages, browsers will typically, in response to coded instructions in a web page, permit a user to enter information via a keyboard and to transmit that information to a web site via the Internet. This functionality permits web pages to act as "forms" which  
35 can be filled out by users and returned to web sites.

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In addition to the "online" browsing scenario explained above, certain browsers also support offline browsing through a mechanism referred to here as a "channel mechanism." This mechanism permits certain URLs to be identified as "channels" and enables the browser to "subscribe" to them. When the browser is subscribed to a channel, this causes the web browser to retrieve on a regular basis (hourly, for example) information from the web site identified by the URL associated with the channel, and to store the information in a cache located on the client computer. When the user instructs the browser to view a particular channel, information stored in the cache is displayed for the user. Since new channel information is retrieved by the browser on a regular basis, a channel mechanism provides a useful way for a user to keep track of dynamic information, such as a stock ticker or a newswire.

Web browsers which provide a channel mechanism are also capable of keeping track of a user's access to the channel information stored in the cache. For example, the Netcaster plug-in to the Netscape Navigator browser includes a capability known as Off-line Channel Data Logging (OCDL). When OCDL is activated, Netcaster will record each instance in which a user accesses data located in the cache, including the time of the access and the location from which the information in the cache was originally retrieved. The LOG element of the Channel Definition Format for Microsoft Internet Explorer provides a similar ability to track user accesses to cached information.

All of the communication between browsers and web servers on the Internet takes place by means of a suite of packet switching protocols known as Transport Control Protocol/Internet Protocol or TCP/IP. The TCP/IP protocol permits two computers on the Internet to

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establish one or more virtual communication circuits between them, known as "sockets."

Because there exist a number of different physical mechanisms by which computers can be connected to the Internet (e.g. telephone line, ISDN, high speed dedicated lines, ethernet), application programs such as web browsers typically do not directly implement the TCP/IP protocol, but rely instead on a "network interface module," a standard platform-specific software library which implements a set of platform and medium-independent network communication functions. Thus, every time that a web browser sends or receives data to or from a web site, it does so through a series of function calls to the network interface.

Web browsers communicate with web servers by exchanging messages in a language known as Hypertext Transport Protocol, or HTTP. HTTP messages can be used by a browser to send data to or request data from a web site. In order to retrieve information on a particular web page, a browser will generate an HTTP GET message. In order to transmit information to a web site (e.g. user entries on a form), a browser will generate an HTTP POST message. HTTP GET and POST messages include within them (explicitly or implicitly) the URL of the page being accessed.

The World Wide Web has certain unique characteristics which give it the potential to revolutionize the manner in which advertisers reach their desired audiences. Unlike any other advertising medium, the World Wide Web permits the creation of advertising messages which are permanent (i.e. they are available 24 hours a day and are not transient like broadcast messages), yet which are infinitely revisable (i.e. they can be updated in a matter of seconds at negligible cost, unlike messages in print media). The World Wide Web is

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also unique in its ability to reach international audiences without any additional cost and, through its interactive functionality, to provide messages which are geared to the specific interests expressed by individual  
5 users in real time.

One obstacle to the more widespread use of advertising on the World Wide Web is the lack of any reliable means for advertisers to determine how effectively a message is reaching its intended audience.  
10 Traditional advertising media sell space to advertisers based on readership or viewership surveys. These media surveys allow advertisers to estimate both the size of medium's audience, and its demographic and psychographic characteristics.

15 Media surveys are also essential to content providers (e.g. magazine publishers and television networks). A content provider sells space to an advertiser based on its ability to attract the audience which the advertiser wishes to reach. A content provider  
20 may expend significant resources on new content in the expectation that it will attract a bigger or (demographically) better audience. But such an expenditure can only be profitable to the content provider if the provider can prove to advertisers that  
25 the content is having the desired effect. Without this means, content providers will have little incentive to improve the quality of their content.

While circulation figures and media surveys are widely used to measure the effectiveness of print and  
30 broadcast media, they are less practical for measuring viewing patterns on the World Wide Web. Users who view web pages are, for all practical purposes, anonymous. Browsers normally transmit no information to web servers which would reliably identify the name or even the  
35 location of a particular user. Thus, the operators of

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web servers have nothing equivalent to a magazine's subscription list on which to ground demographic or psychographic claims or to base a survey. Moreover, because of the multitude of web pages and the transient  
5 and happenstance nature of a user's interaction with any given page, random telephone or E-mail surveys are unlikely to produce accurate and detailed information about World Wide Web viewing patterns.

Currently known techniques for measuring the  
10 viewership of web sites have shortcomings because they cannot provide any demographic or psychographic information about the viewers and they do not always accurately determine the number of advertising messages to which a viewer has been exposed.

15 For example, one known technique for measuring web site popularity has been simply to count the number of times that a web site has been "hit" by an outside request to transmit web page data. The measure resulting from this technique can be misleading, however, because  
20 oftentimes it is necessary for a single web site to be "hit" multiple times in order to display a single screenful of web page data.

An improved measurement technique counts the number of "impressions" made by a web page by determining  
25 how many times that a web page has displayed advertising messages to a user. This measure is still unsatisfactory. It does not produce any demographic or psychographic data about the users who are viewing the web page in question. Moreover, this method cannot  
30 distinguish between a single person (or even an automated computer program) accessing the same page numerous times, and numerous users accessing the page a single time. Thus, it is unable to determine the number of distinct users who access a page and is also subject to



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manipulation by persons with fraudulent or malicious intent.

Moreover, neither of these methods permits monitoring of a given user's pattern of web site access.

5 They cannot, for example, show the order in which a user access a series of web sites, nor can they determine the interval between the time a user access a given first web site, and the time the user accesses a next web site.

Another known technique monitors computer usage  
10 patterns by installing software on a user's computer which logs every operation performed by the user, and saves this information to the computer's permanent memory. At specified intervals, the user saves this information to a floppy disk which is then mailed to a  
15 centralized location where the data is compiled.

#### Summary of the Invention

The present invention provides a method for monitoring use of remote data resources by users on a data network. A resource access request message  
20 generated on a client system (e.g., an HTTP GET or POST message) is rerouted through a logging module, information about the message is collected, and the message is transmitted over the data network to a remote data resource server.

25 Preferred implementations may include one or more of the following features.

The message may be rerouted by trapping a call to a network interface module and transferring control to the logging module. The message may be rerouted by  
30 routing the message to a proxy server. The remote data resource may be a web page. The message may be generated by a web browser. User identification data may be registered on a registration server. User identification data may be registered on a registration server by  
35 transmitting a registration form from a registration

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server to the client system, prompting the user to complete the registration form, and transmitting registration form data from the client system to the registration server. The registration form data may  
5 include demographic information about the user. The user identification data may include demographic information about the user. Demographic information for the user may be combined with information collected about rerouted messages. Reports may be generated from the result of  
10 combining the demographic information and the information collected about rerouted messages. Information about the message may be sent to a data collection server. The information about the message may be sent to the data collection server shortly after the message is rerouted.  
15 The information about the message may be stored temporarily and transmitted to the data collection server at a later time. One or more reports of information received by the data collection server may be compiled. One or more of the reports may be made available on a  
20 server. The reports may be made available on a server by requesting a user ID from a requestor and transmitting a report associated with the user ID from the web site to the requestor. The server may be a web site. The datestamp of a log file on the client system may be  
25 compared with specified time and if the log file was modified since the specified time, information from the log file transmitted to the data collection server. The log file may contain information about use of cached data by a user. The information about the message may include  
30 information identifying the user. The time interval since the last time information was collected about a rerouted message may be determined and, if it is greater than a given size, the user may be requested to identify him or herself before transmitting the message over the

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data network to the remote data resource server. The network may be the Internet.

Among the advantages of this invention are that it permits data to be collected without user intervention, and that it permits web site access data to be collected as the site is being accessed, thus permitting real time monitoring of web site access patterns.

Another advantage of this method is that it permits data about web site access patterns to be correlated with demographic information about users, so that statistical reports can be generated about the behavior of different demographic groups.

The invention also has the advantage that initial registration and setup of participating users can be done inexpensively and in a mostly automated fashion over the Internet.

Another advantage of the invention is that the customer reports generated from the data collected can be distributed over the Internet at very low cost, and the reports can be tailored to the needs and authorization of particular customers.

The invention may be implemented in hardware or software, or a combination of both. Preferably, the technique is implemented in computer programs executing on programmable computers that each include a processor, a storage medium readable by the processor (including volatile and non-volatile memory and/or storage elements), at least one input device, and at least one output device. Program code is applied to data entered using the input device to perform the functions described above and to generate output information. The output information is applied to one or more output devices.

Each program is preferably implemented in a high level procedural or object oriented programming language to communicate with a computer system. However, the

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programs can be implemented in assembly or machine language, if desired. In any case, the language may be a compiled or interpreted language.

Each such computer program is preferably stored on  
5 a storage medium or device (e.g., ROM or magnetic disk)  
that is readable by a general or special purpose  
programmable computer for configuring and operating the  
computer when the storage medium or device is read by the  
computer to perform the procedures described in this  
10 document. The system may also be considered to be  
implemented as a computer-readable storage medium,  
configured with a computer program, where the storage  
medium so configured causes a computer to operate in a  
specific and predefined manner.

15 Other features and advantages of the invention  
will become apparent from the following description of  
preferred embodiments, including the drawings, and from  
the claims.

#### Brief Description of the Drawings

20 FIG. 1 is a block diagram showing a system of  
networked computers including client computers, web  
sites, and a registration server.

FIG. 2 is a block diagram of a typical client  
computer containing a browser and a network interface  
25 module.

FIG. 3 is block diagram of the registration site,  
including a network interface module, a registration  
server, and a database.

FIG. 4 is a flow chart showing the technique by  
30 which a user registers with the registration server using  
a browser on a client computer.

FIG. 4a is a list of the information requested of  
new users by the registration server.

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FIG. 5 is a flow chart showing the technique used by the *datatrap* initialization module.

FIG. 5a is a flow chart showing the technique used by *FakeGetProcAddress* in the Windows 95 implementation.

5        FIG. 6 is a flow chart showing the technique used by the *send\_trap* routine in the *datatrap* module.

FIG. 7 is a block diagram of a client computer after the *datatrap* module has been installed.

10       FIG. 8 is a flow chart showing the technique used by *client\_set\_session* of the *datatrap* module.

FIG. 8a is a block diagram of a *session\_info* record.

FIG. 8b is a block diagram of a *NEW\_SESSION* message.

15       FIG. 8c is a block diagram of a *NEW\_SESSION\_CONFIRMED* message.

FIG. 9 is a flow chart showing the technique used by the *registration\_set\_session* routine of the registration server.

20       FIG. 9a is a block diagram of record in the *connections* table maintained by the registration server.

FIG. 10 is a flow chart showing the technique used by the *client\_log\_get* routine of the *datatrap* module.

FIG. 10a is a block diagram of a *LOG* message.

25       FIG. 10b is a block diagram of a *hit\_data* record.

FIG. 11 is a flow chart showing the technique used by the *registration\_log\_hit* routine.

30       FIG. 12 is a flow chart showing an alternate technique used by *send\_trap* to monitor the user's web page viewing patterns.

FIG. 13 is a flow chart showing the technique used by the routine *client\_log\_channel\_get*.

FIG. 14 is a flow chart showing the technique used by the routine *client\_log\_channel\_activity*.

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Description of the Preferred Embodiments

Shown in FIG. 1 is a simplified diagram of the Internet. A plurality of client computers 1 are connected via a network 4 to a plurality of web sites 2 and a registration site 3.

Shown in FIG. 2 is a simplified diagram of a client computer. It contains a web browser application 5 which can send and receive messages to and from a network 4 by calling functions in a network interface module 6 (e.g. the Winsock network interface library running under Windows 95). In particular, the web browser application can send and receive HTTP messages.

Shown in FIG. 3 is a simplified diagram of a registration site. It contains a registration server program 10 which can send and receive messages to and from a network by calling functions in a network interface module 11. The registration server program can also write records to a database 12.

In order for a user's web browsing to be monitored, the user must register with the registration server. The process of registering a new user is shown in FIG. 4. The user first accesses the registration server's web page using the web browser located on the user's client computer (step 30). The registration server then transmits to the user's client computer a registration form in HTML format (step 31). This form is displayed by the user's web browser (step 31a). The form instructs the user to provide data about him or herself. A list of the information requested is illustrated in FIG. 4a. The user fills out the form using the web browser, and transmits the resulting data back to the registration server (step 32). The data are checked for completeness (step 33). If the data are not complete, the registration server transmits a new form to complete (step 31). If the data are complete, the registration

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server sets the variable *user\_id* to a unique value (step 34) and creates a record in the database consisting of *user\_id* and the data obtained from the registration form (step 35). The registration server then creates a copy  
5 of the *datatrap* module (described herein) with the value of *user\_id* embedded within it, and transmits this copy to the user's client computer (step 36). Also embedded within the *datatrap* module are one or more *member\_ids*. The *user\_id* serves to identify the household or office in  
10 which the client system is located, and the *member\_ids* serve to identify particular individual users within the householder or office. Once the user installs the *datatrap* module on his or her machine (step 37), monitoring will commence after the next reboot of the  
15 client computer.

The precise steps involved in installing the *datatrap* module on the user's client computer will depend on which type of operating system the client computer supports. In all cases, the principle is the same. The  
20 *datatrap* module is stored on the client computer's hard disk drive. The client computer's bootstrap routine, which contains all of the commands which are executed when the client computer is powered up or reset, is then modified to include a command to execute the *datatrap*  
25 module's initialization submodule.

FIG. 5 shows the technique used by the *datatrap* initialization submodule. First, the static variable *LastClick* is set to zero (step 40). Next, the operating system's memory map is modified so that all attempts by  
30 application programs to call the network interface's *send* routine are redirected to the *datatrap* module's *send\_trap* routine instead, and the original address of *send* is stored in a static variable *\*send* (step 41).

The manner in which this redirection is  
35 accomplished will depend on the structure of the

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operating system. For example, in Windows 95, the memory address which normally points to the KERNEL32.DLL function *GetProcAddress* is set to point instead to a function within the *datatrap* module called

5 *FakeGetProcAddress*. The function *GetProcAddress* is ordinarily called by all application program processes to obtain the entry points for dynamic link library (DLL) functions. With this change, these processes will instead call *FakeGetProcAddress*. As illustrated in FIG.  
10 5a, *FakeGetProcAddress* examines the function for which the calling process seeks the entry point (step 50). If the function is the WINSOCK *send* function, the address returned is the address for *send\_trap* (thus causing the application program to call *send\_trap* when it is trying  
15 to call *send*) (step 52). If the function is any other function, *FakeGetProcAddress* simply calls *GetProcAddress* which returns the actual function address sought by the calling process (step 51).

FIG. 6 shows the technique used by *send\_trap* to  
20 monitor the user's web page viewing patterns. When *send\_trap* is called, it first determines whether the data that the application program is attempting to send is an HTTP GET or POST message (step 70). If it is not an HTTP GET or POST message, *send\_trap* immediately calls *\*send*  
25 and exits (step 74). If the message is an HTTP GET or POST message, then the variable *LastClick* is compared with the current time (step 71). If *LastClick* is more than 15 minutes prior to the current time (indicating that no GET or POST messages have been initiated in the  
30 last 15 minutes), then the routine *client\_set\_session* is executed (step 73). After *client\_set\_session* has been executed, or if *LastClick* is less than fifteen minutes prior to the current time, the routine *client\_log\_hit* is executed (step 72). Next, *\*send* is executed and  
35 *send\_trap* exits (step 74).



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FIG. 7 shows conceptually the change in the client computer system configuration after datatrap has been installed. The browser 5 still accesses the network through the network interface module 7, except that calls to the module's *send* routine are first processed through the *send\_trap* module before being passed on *send*.

FIG. 8 shows the technique used by *client\_set\_session*. First, the user is queried to identify him or herself by selecting from one of a list of *member\_ids* which have been embedded in the datatrap module (step 88). Next, a record *session\_info* is created (step 90). As illustrated in FIG. 8a, *session\_info* contains the *session\_id* (a unique number generated by the datatrap module), the *user\_id* (which identifies the household and is permanently embedded in the datatrap module), the *member\_id* (which identifies the member of the household), the current time and date, the client computer's operating system, the version of the datatrap module which is being executed, the Internet Protocol address of the client computer, and the *computer\_id* (which identifies the computer in the household and is permanently embedded in the datatrap module). Next, the network interface module is used to open up a network socket between the client computer and the registration site (step 91). Once the socket has been established, a *NEW\_SESSION* message is sent to the registration site (step 92). As shown in FIG. 8b, the *NEW\_SESSION* message contains a token "NEW\_SESSION" and the *session\_info* record.

In one embodiment, *Client\_set\_session* then waits until a *NEW\_SESSION\_CONFIRMED* message is received from the registration site until proceeding. This embodiment will be referred to as the "handshake embodiment." In an alternative embodiment, receipt of the *NEW\_SESSION* message by the registration site is assumed, and a

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NEW\_SESSION\_CONFIRMED message is not transmitted to acknowledge receipt by the registration site. This embodiment will be referred to as the "no handshake embodiment."

5           As show in FIG. 8c, in the handshake embodiment, the NEW\_SESSION\_CONFIRMED message contains a "NEW\_SESSION\_CONFIRMED" token, and the session\_id value. When this message is received, Client\_set\_session exits.

FIG. 9 shows the technique used, in the handshake  
10 embodiment by the registration server to process NEW\_SESSION messages from client computers. First, a connection data record is created in a static table connections, having as one field the value of session\_id contained in the session\_info record transmitted with the  
15 NEW\_SESSION message, as a second field the value of the local variable connection\_id (which is created by the network interface and identifies the network socket between the registration server and the client computer), and having as its remaining fields the remaining field  
20 values of the session\_info record which were transmitted by the client computer (step 111). The structure of a connection data record is illustrated in FIG. 9a. Next, a NEW\_SESSION\_CONFIRMED message is sent to the client computer, containing the value of session\_id as its  
25 contents (step 112).

FIG. 10 shows the technique used by client\_log\_hit to log GET and POST messages to the registration server. A record hit\_data is created (step 130). As illustrated in FIG. 10b, this record consists of the current value of  
30 session\_id, the date and time, the URL which the GET or POST message being processed seeks to access, and a token identifying the type of browser being used. Then, a LOG message is sent to the registration server using \*send (step 131). As shown in FIG. 10a, a LOG message consists

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of the token "LOG" and the contents of *hit\_data*. Next, the variable *LastClick* is set equal to the current time.

FIG. 11 shows the technique used by the registration server to process incoming LOG messages.

5 First, the connection record in *connections* corresponding to the *session\_id* value in the LOG message is retrieved (step 150). Then, a record is created in the database associating the data contained in the LOG message with the *session\_id* (step 151).

10 The registration server continuously collects data from client computers on which the *datatrap* module has been installed. From time to time, a snapshot of this data may be taken (consisting, e.g. of all of the transactions recorded within a given time period), and  
15 statistical reports may be generated, showing patterns of web page access by users within relevant demographic groups (e.g. frequency of access to a page by members of a given group) as well as patterns of sequential web page access (e.g. statistics indicating how frequently a user  
20 accessing a given first web page will follow a hypertext link on that page to a given second page).

Third parties (e.g. customers of the registration server operator) may access the statistical reports generated by the registration server by access via the  
25 Internet, using a "report" web page on the registration site. This web page requires that the third party enter a password (and transmit it back to the registration site) before being permitted access to the requested reports. Passwords are supplied to authorized third  
30 parties by the registration site operator. Once the third party has entered a valid password, it is provided with a menu of possible reports in HTML format. The types of reports available may be varied depending on the level of service to which the user has subscribed.

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In a browser with a channel mechanism, the technique used by `send_trap` to monitor the user's web page viewing patterns is modified as follows. Referring to FIG. 12, when `send_trap` is first called, it determines whether the data that the application program is attempting to send is an HTTP GET or POST message (step 200). If it is not an HTTP GET or POST message, `send_trap` immediately calls `*send` (step 210) and exits. If it is an HTTP GET or POST message, then the variable `LastClick` is compared to the current time (step 220). If the current time is more than 15 minutes greater than `LastClick`, then the routine `client_set_session` is executed (step 230). After `client_set_session` has been executed, or if the current time is not more than 15 minutes greater than `LastClick`, then the message is checked to determine whether the message is a user initiated message (i.e. one generated in response to a user seeking to access a data resource) or whether it is generated by a channel mechanism for updating channel information in a cache (step 240).

The steps taken by the `send_trap` routine to determine whether the message is a user initiated message or not may vary depending on the implementation of the channel mechanism in the browser, but one of the following three techniques may be used. The `send_trap` routine may keep a master list of URLs associated with channels (either generated by the user or derived from channel mechanism configuration files), and may consider all messages directed to such URLs as messages generated by a channel mechanism.

Alternatively, the GET and POST messages generated by a channel mechanism may contain information specially identifying them as messages generated by a channel mechanism. For example, they may contain a "user agent" header field value which is unique to a channel

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mechanism. In such a case, *send\_trap* would scan the content of messages to determine whether such identifying information is present.

Alternatively, *send\_trap* may keep a running log of  
5 the times when messages are sent to particular URLs. Each time *send\_trap* receives a GET or POST message, it determines the amount of time between the current message and any prior messages to the same URL. If *send\_trap* determines that there is a sufficient regularity in the  
10 messages being directed to a given URL (for example, if three such messages have been sent at precisely hourly intervals), it determines that such messages are being generated by a channel mechanism, and places that URL on a list of channel mechanism URLs. Future messages  
15 directed at that URL are then considered to be generated by a channel mechanism.

Referring again to FIG. 12, if *send\_trap* determines that the message was user generated, the routine *client\_log\_hit* is executed (step 250), otherwise,  
20 the routine *client\_log\_channel\_get* is executed (step 260). Next, the datestamp of the log file maintained by the channel mechanism is checked (step 270). If the datestamp indicates that the log file has been changed since the last time *send\_trap* was called, the routine  
25 *client\_log\_channel\_activity* is executed (step 280). Next, *\*send* is executed (step 210) and *send\_trap* exits.

FIG. 13 shows the steps taken by the routine *client\_log\_channel\_get*. A record *channel\_get\_data* is created (step 300). The record includes the current  
30 value of *session\_id*, the date, and the URL which the GET or POST message being processed seeks to access. Then a LOG\_CHANNEL\_GET message is sent to the registration server using *\*send*, which includes the token  
"LOG\_CHANNEL\_GET" along with the contents of the  
35 *channel\_get\_data* record (step 310). When LOG\_CHANNEL\_GET

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messages are received by the registration server they are processed in the same manner as LOG messages.

FIG. 14 shows the steps taken by the routine *client\_log\_channel\_activity*. A record  
5 *channel\_activity\_data* is created (step 320). The record includes the current value of *session\_id*, the date, and the current contents of the channel mechanism log file. Then a LOG\_CHANNEL\_ACTIVITY message is sent to the registration server using *\*send*, which includes the token  
10 "LOG\_CHANNEL\_ACTIVITY" along with the contents of the *channel\_activity\_data* record (step 330). When LOG\_CHANNEL\_ACTIVITY messages are received by the registration server, they are processed in the same manner as LOG messages.

15 Other embodiments of the invention are within the following claims. For example, user registration can take place by mail, or through a direct dialup connection, rather than through the online mechanism described above. Instead of instantaneously transmitting  
20 a LOG message to the registration server each time the user accesses a web page, the datatrap module could accumulate a number of "hits" and transmit them to the registration server at given intervals of time or after a fixed number of "hits." The functions of the  
25 registration site might be carried out from a number of different physical web servers (e.g., registration at one or more registration servers, data collection at one or more data collection servers, and report display at one or more report servers).

30 In another embodiment, calls to the network interface are not trapped. Instead, the web browser is instructed to use a "proxy server." A proxy server is software running on a computer connected to the Internet which accepts HTTP messages from a client computer, and  
35 simply re-emits them onto the Internet. In this

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embodiment, software is installed on the client computer which acts as a proxy server for the client computer, but which also has the HTTP GET and POST message logging capability of datatrap described above. All HTTP  
5 messages sent by the client computer are rerouted through the proxy server, which issues LOG messages to the data collection server before passing the message on to the Internet.

Alternatively, the proxy server software may be  
10 installed on a remote system. Since a remote proxy server does not have direct access to files on the client system, a "mini-server" software module is installed on the client system. This "mini-server" responds to file transfer protocol (FTP) "fetch" requests from the proxy  
15 server, thus permitting the proxy server to retrieve a channel mechanism log file for transmission to the registration server. It should be noted that in this alternative embodiment, an instance of a proxy server program must be run to support each computer that is  
20 being monitored. This may be accomplished, for example, by running multiple instances of the proxy server program on a single proxy server system, and having each instance associated with a particular network port on the system. Each computer to be monitored is programmed to use a  
25 specific port to communicate with the proxy server.

Because a datatrap module in a remote proxy server program cannot directly access the client system operating system, it cannot directly perform the step of requesting the user to identify him or herself, indicated  
30 as step 88 above. Instead, the datatrap module obtains this information by transmitting an HTML form requesting this information to the client server. (The HTML form is sent in response to the GET or POST message which causes `client_set_session` to be called.) The user enters the  
35 information in the form and clicks on a "submit" button,

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which causes the form information to be transferred back to the proxy server.

The client computer may be a single-user or a multi-user platform, or it may be an embedded computer, such as in a consumer television, personal digital assistant, Internet surfing, or special purpose appliance product. Web pages may reside on a wide area network, a local area network, or on a single file system.

What is claimed is:



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1. On a data network, to which are connected a plurality of client systems and a plurality of remote data resource servers, wherein the client systems access remote data resources on the remote data resource servers  
5 by issuing resource access request messages, a method for monitoring use of the remote data resources by users of the client systems, the method comprising:

rerouting a resource access request message, generated on a client system, to a logging module;  
10 having the logging module collect information about the rerouted message; and  
transmitting the message over the data network to a remote data resource server.

2. The method of claim 1, wherein rerouting the  
15 message comprises:

trapping a call to a network interface module and transferring control to the logging module.

3. The method of claim 1, wherein rerouting the message comprises:

20 routing the message to a proxy server.

4. The method of claim 1, wherein the remote data resource is a web page.

5. The method of claim 1, wherein the message is generated by a web browser.

25 6. The method of claim 1, wherein the logging module identifies the user issuing the rerouted message.

7. The method of claim 6, further comprising:  
registering user identification data on a registration server.

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8. The method of claim 7, wherein registering user identification data on a registration server comprises:

- transmitting a registration form from a
- 5 registration server to the client system;
- prompting the user to complete the registration form; and
- transmitting registration form data from the client system to the registration server.

10 9. The method of claim 8, wherein registration form data includes demographic information about the user.

10. The method of claim 7, wherein the user identification data includes demographic information

15 about the user.

11. The method of claim 10, further comprising combining the demographic information for the users with information collected about rerouted messages.

12. The method of claim 11, further comprising

20 generating reports from the result of combining the demographic information and the information collected about rerouted messages.

13. The method of claim 1, further comprising sending the collected information to a data collection

25 server.

14. The method of claim 13, wherein the information about the message is sent to the data collection server shortly after the message is rerouted.

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15. The method of claim 13, wherein the information about the message is stored temporarily and transmitted to a data collection server at a later time.

16. The method of claim 1, further comprising  
5 compiling one or more reports of information received by the data collection server.

17. The method of claim 16, further comprising making one or more of the reports available on a server.

18. The method of claim 17, further comprising:  
10 requesting a user ID from a requestor;  
transmitting a report associated with the user ID from the web site to the requestor.

19. The method of claim 17, wherein the server is a web site.

15 20. The method of claim 13, further comprising:  
comparing the datestamp of a log file on the client system with the last time that the logging module collected data about a rerouted message; and  
if the log file was modified since the last time  
20 that the logging module collected data about a rerouted message, transmitting information from the log file to the data collection server.

21. The method of claim 20, wherein the log file contains information about use of cached data by a user.

25 22. The method of claim 1, wherein information about the message includes information identifying the user.

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23. The method of claim 22, further comprising the steps of:

determining whether the time interval since the last time information was collected about a rerouted  
5 message is greater than a given size; and

if the time interval is greater than a given size, requesting the user to identify him or herself before transmitting the message over the data network to the remote data resource server.

10 24. The method of claim 1 wherein the network is the Internet.

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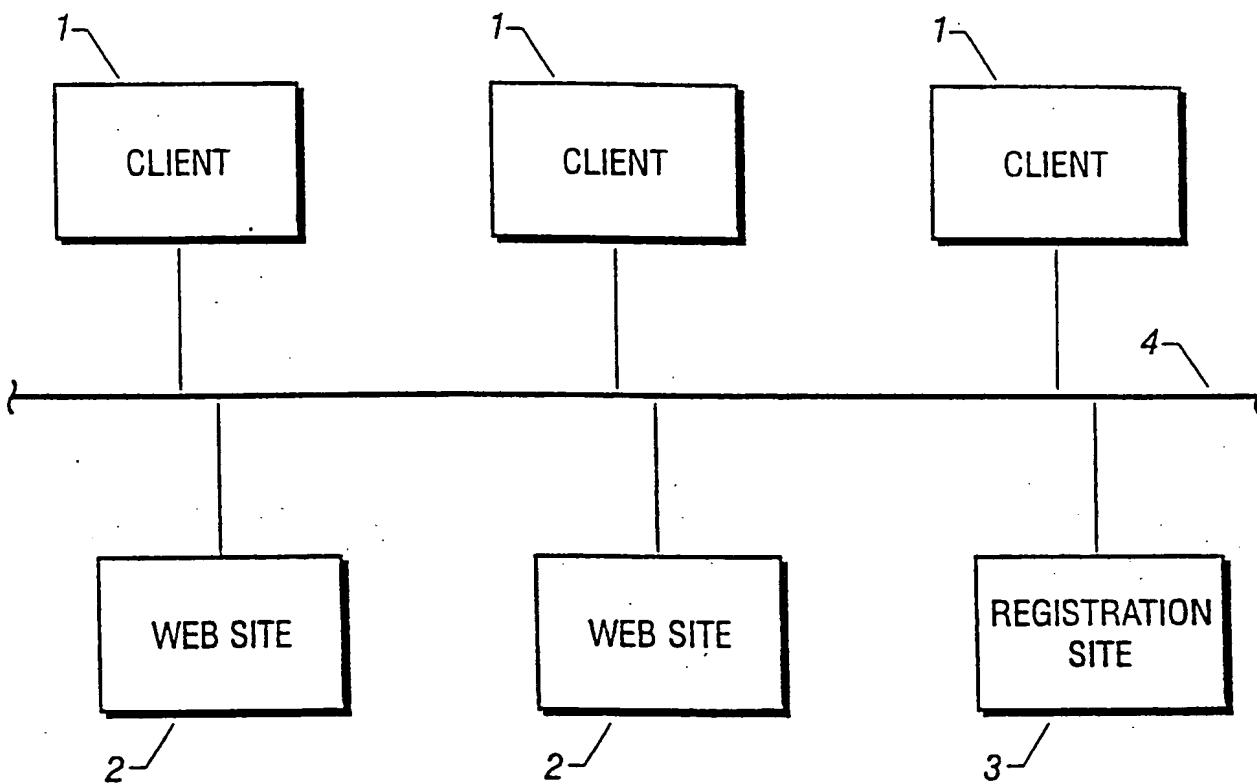


Figure 1

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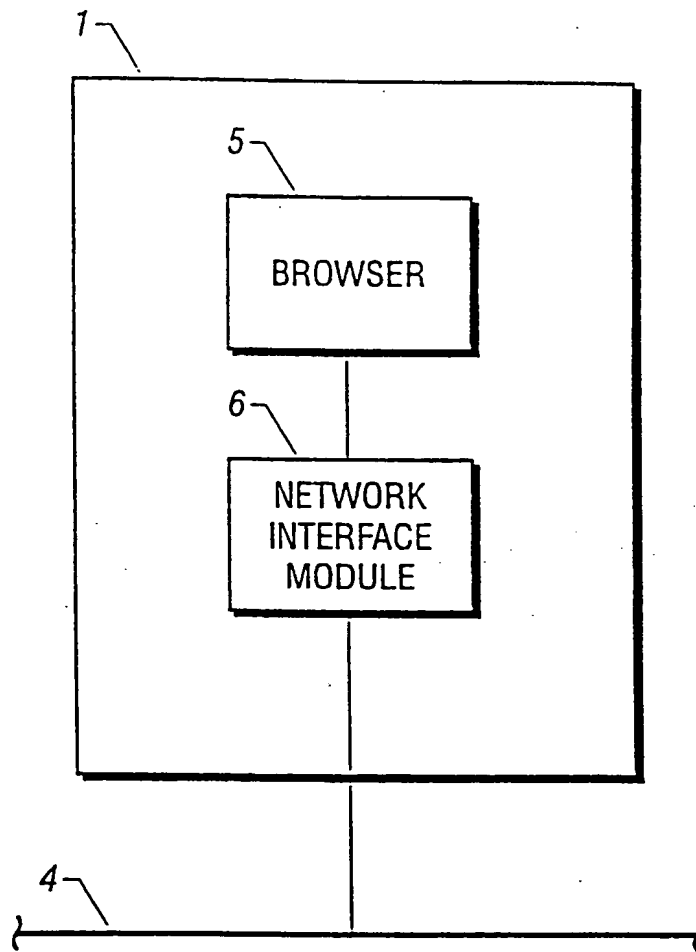


Figure 2

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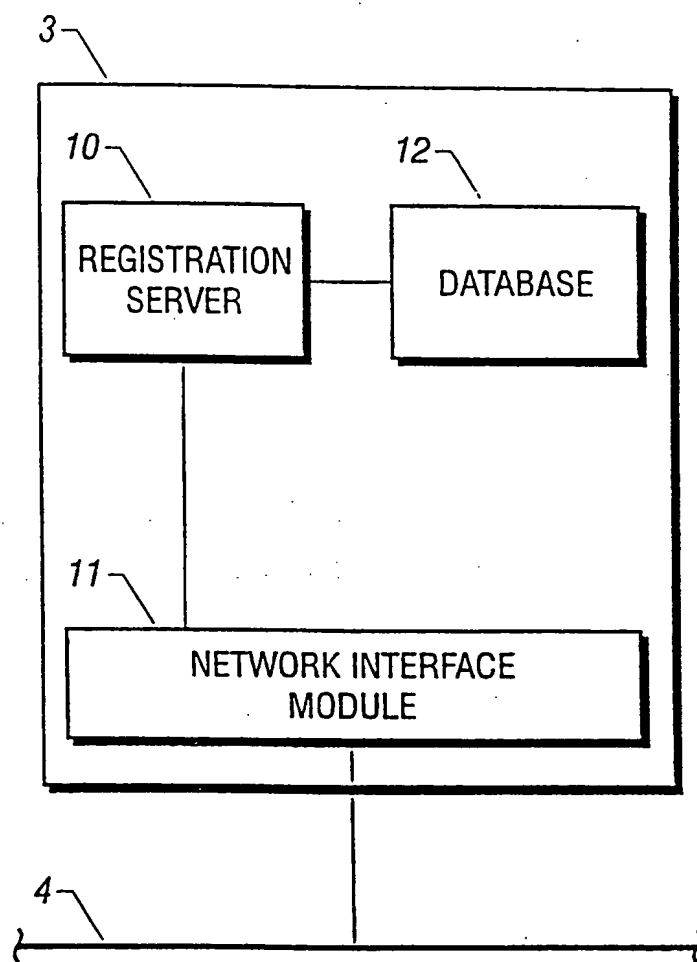


Figure 3

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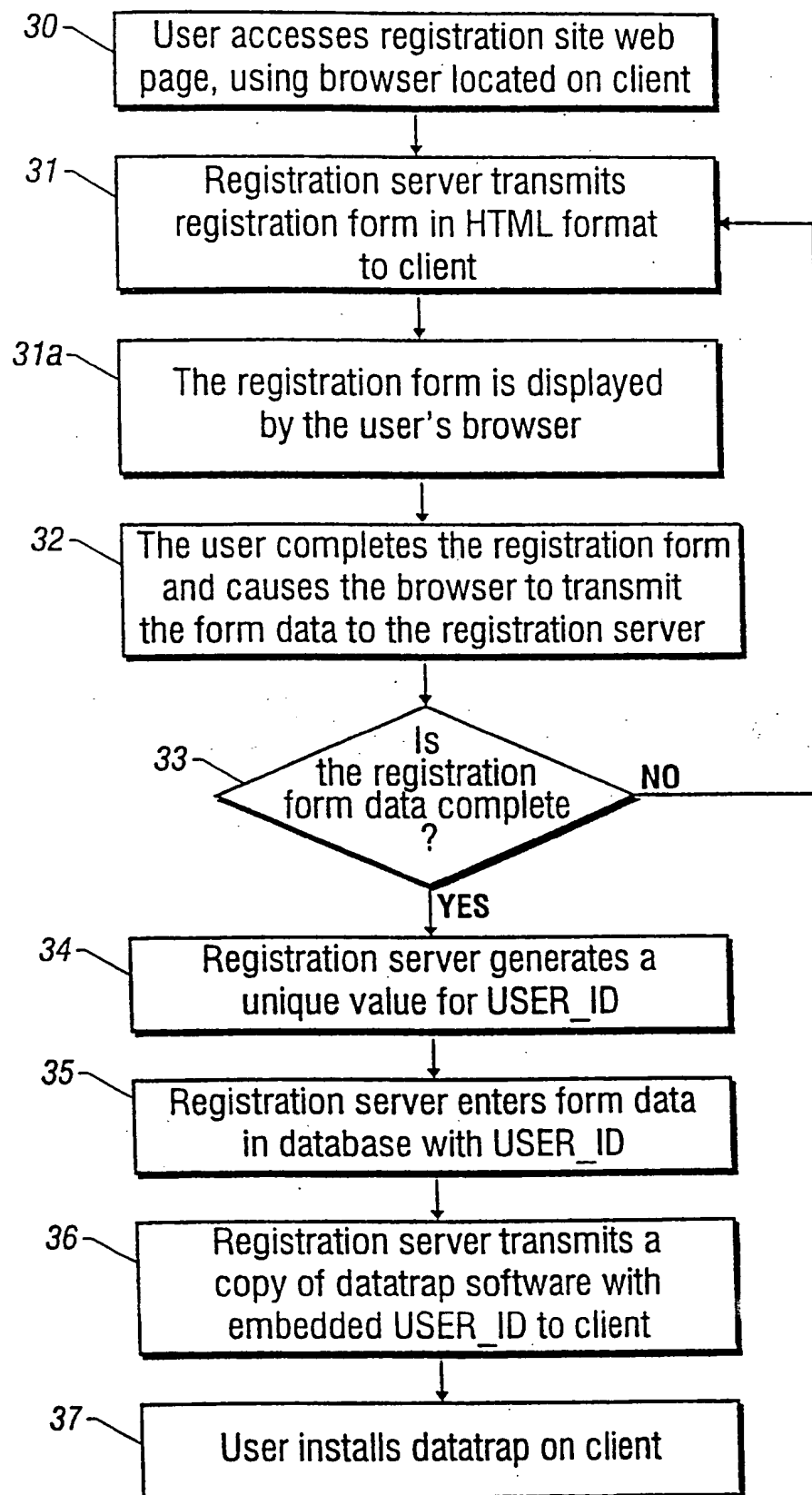


Figure 4



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Name  
Street Address  
City  
State Zip  
Country  
HH size  
Gender  
Age  
# of phone lines in house  
Computers used (home/work/school) by any member Which members  
use which computers  
E-mail addresses  
Browser type  
ISP used  
Computer OSes  
HH Income  
Education  
Employment status  
Employment industry  
Professional status  
Purchasing authority  
Race  
Frequency of web use  
Use of TV  
Use of Computer Peripherals  
Use of Audio/Video equipment  
Newspaper subscription  
# of automobiles owned  
# of automobiles leased  
# of domestic automobiles  
# of foreign automobiles  
Miles of personal travel per year  
Miles of professional travel per year Domestic travel  
International travel  
Catalog shopper  
Online shopper  
Credit card ownership  
Investment activity (online vs. traditional)  
Real Estate (home ownership vs. other)  
Telephone number  
Names of household members

**Figure 4A**

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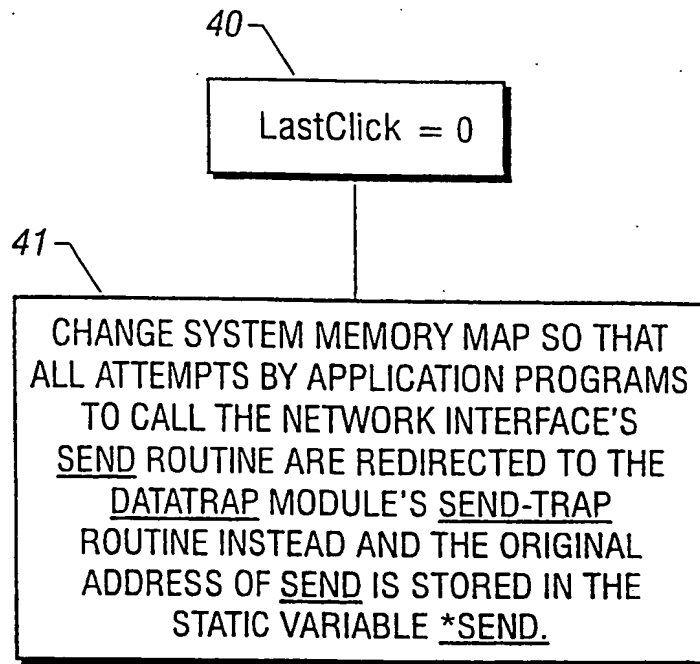


Figure 5

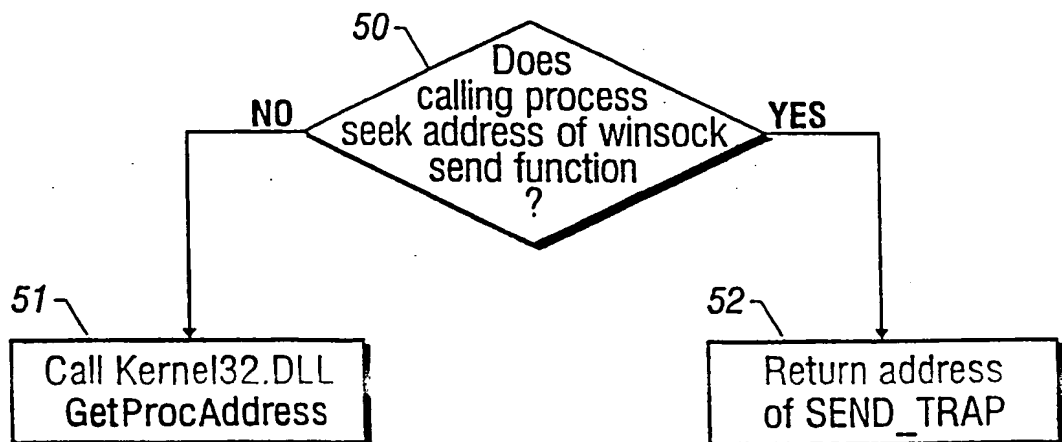


Figure 5A

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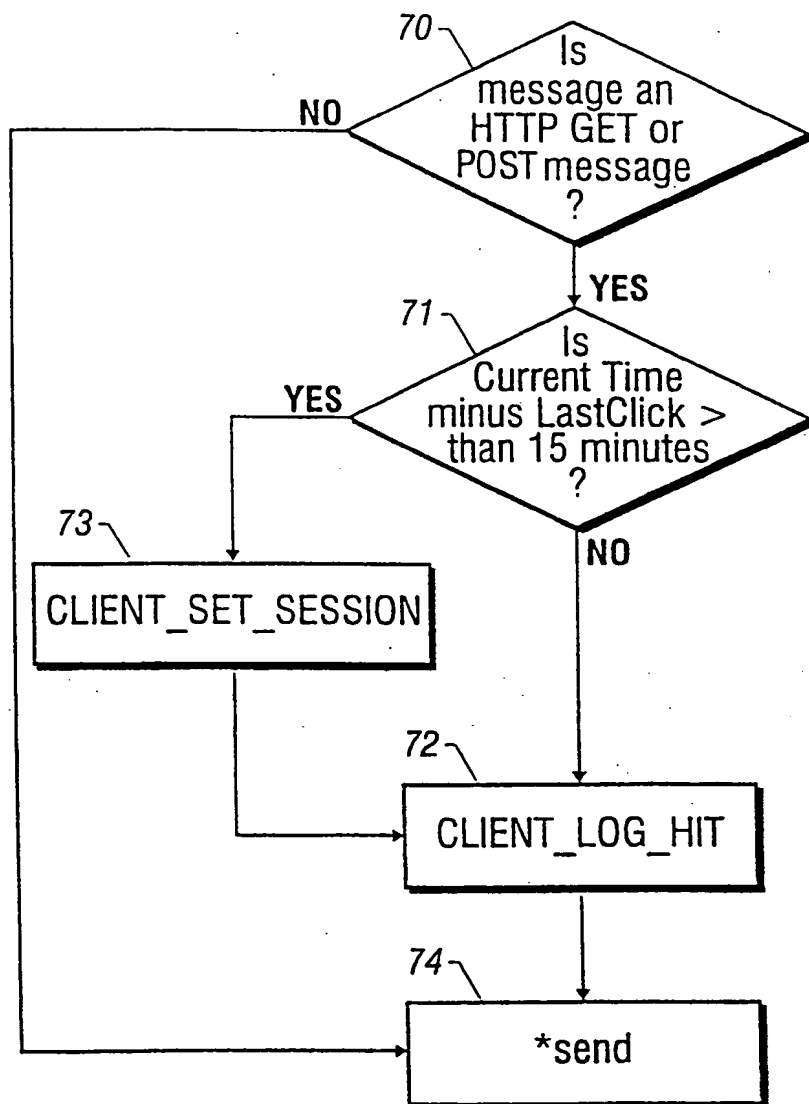


Figure 6

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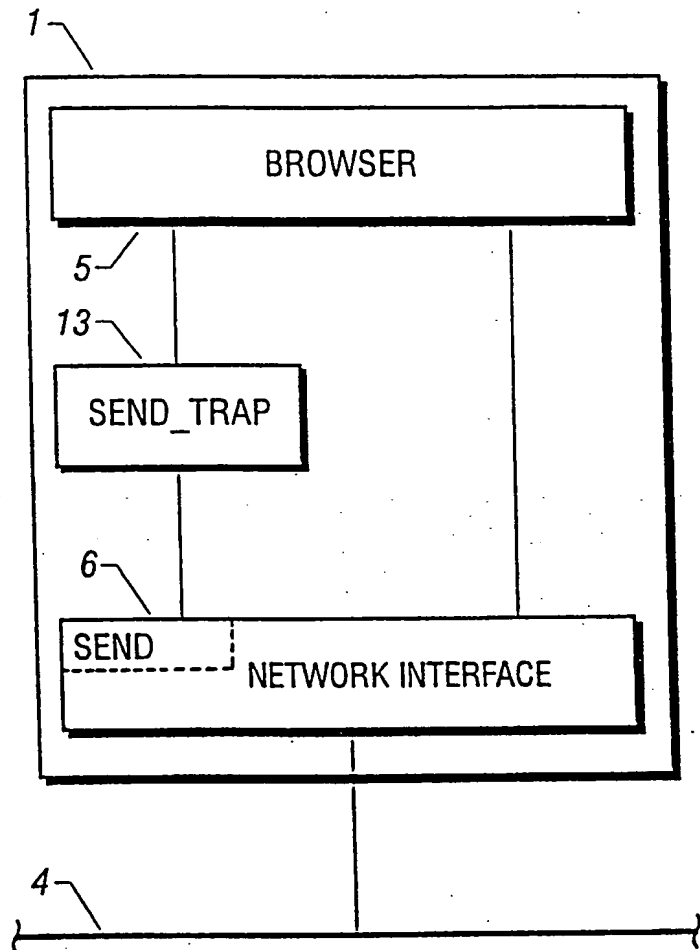


Figure 7

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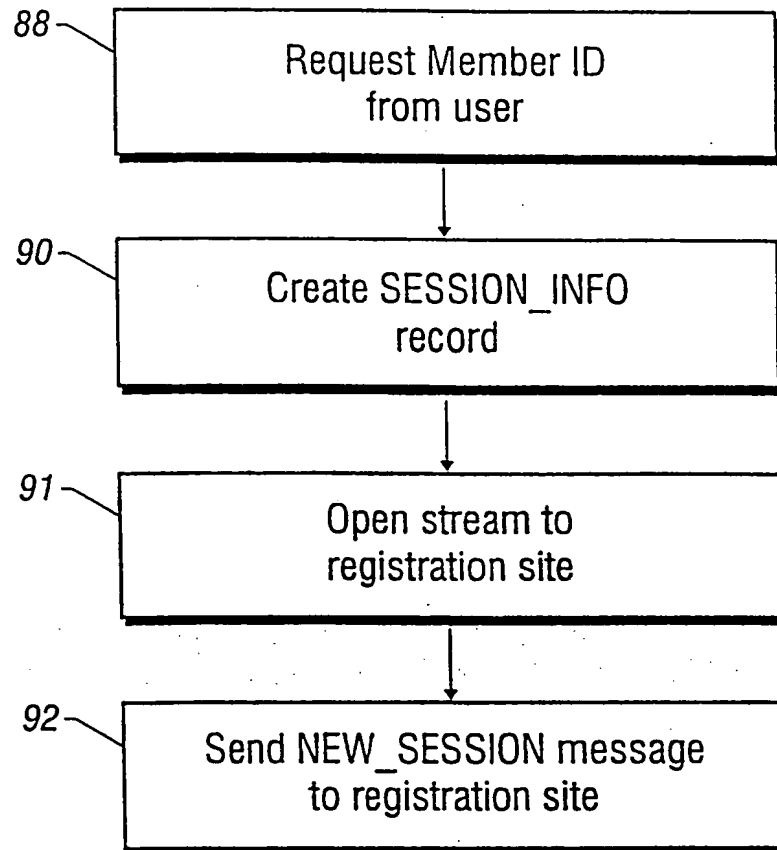


Figure 8

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SESSION ID
USER ID
MEMBER ID
START TIME
OS VERSION
DATATRAP VERSION
IP ADDRESS
COMPUTER ID

Figure 8A

NEW_SESSION
SESSION_INFO

Figure 8B

NEW_SESSION_CONFIRMED
SESSION_ID

Figure 8C

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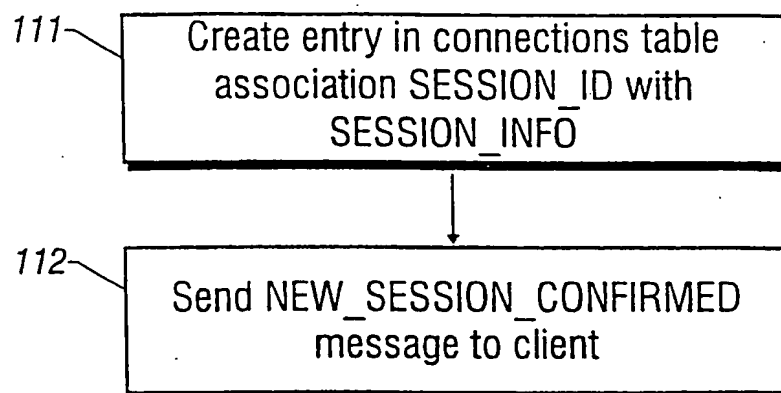


Figure 9

SESSION ID
USER ID
MEMBER ID
START TIME
OS VERSION
DATATRAP VERSION
IP ADDRESS
COMPUTER ID

Figure 9A

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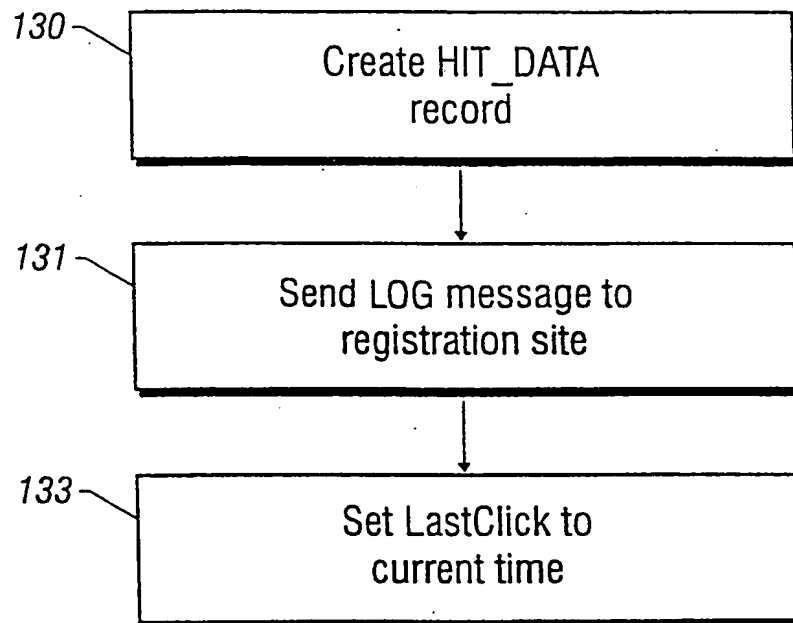


Figure 10

LOG
HIT_DATA

Figure 10A

SESSION ID
REQUEST DATE/TIME
REQUESTED URL
BROWSER ID

Figure 10B



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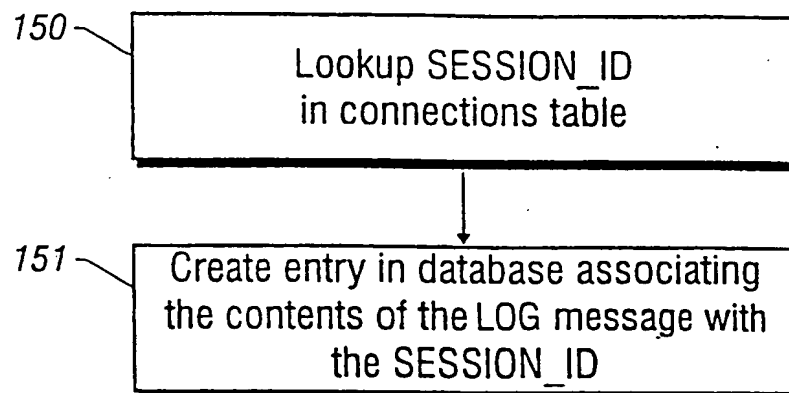


Figure 11

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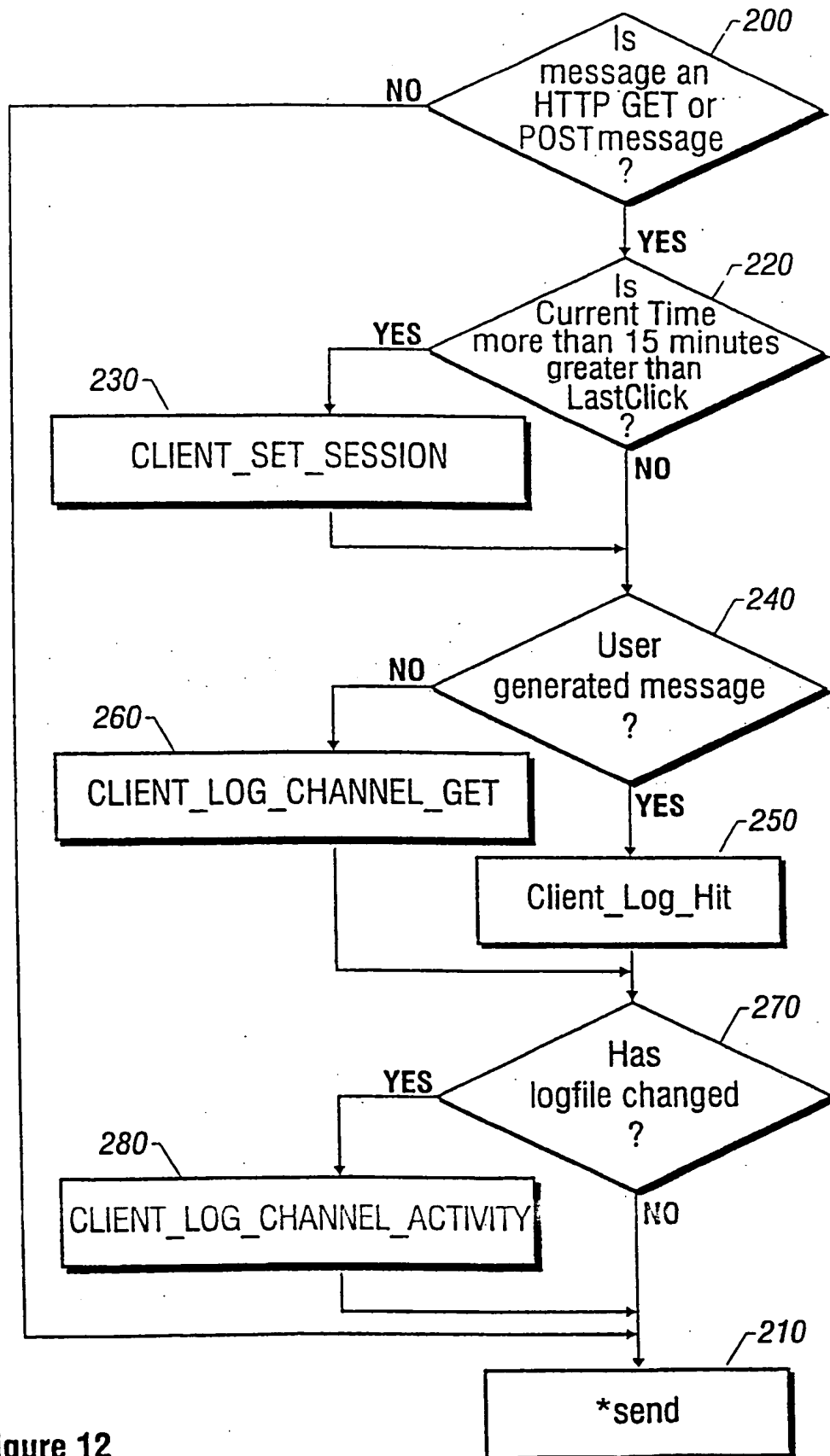


Figure 12

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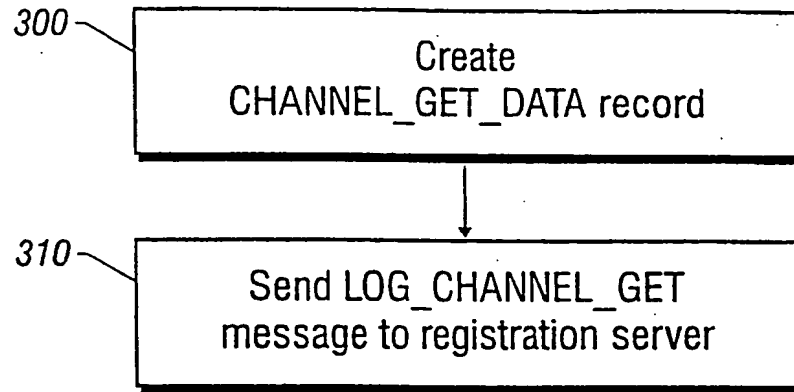


Figure 13

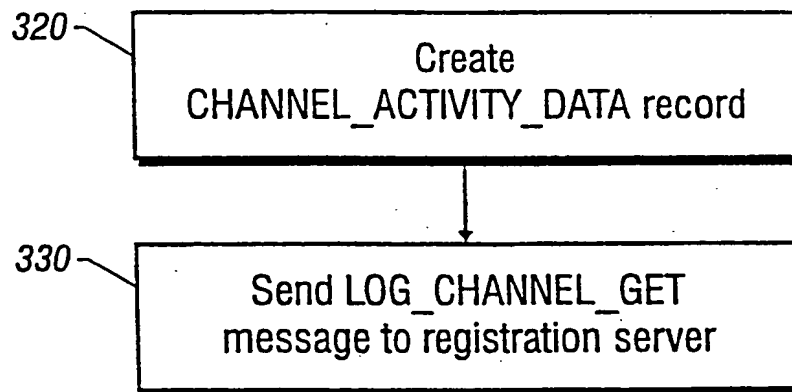


Figure 14



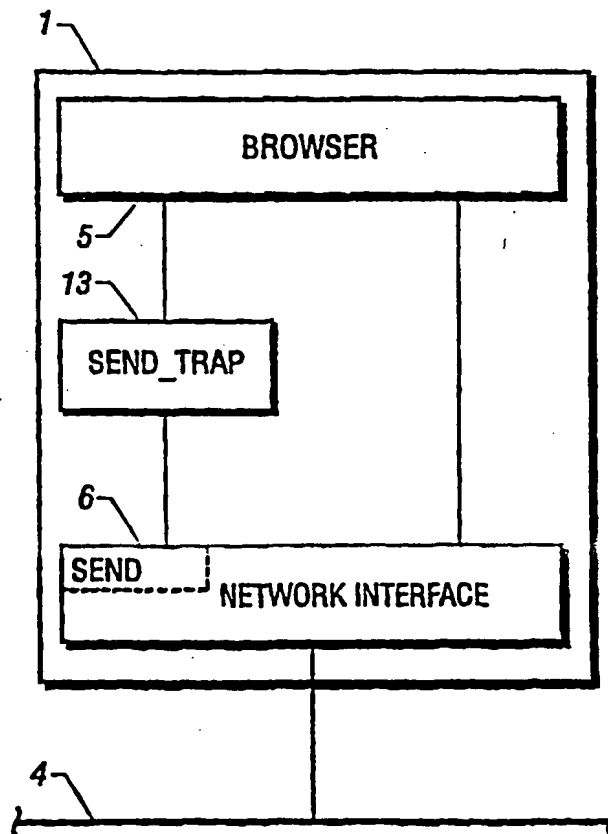
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G06F 11/34, 17/40, 17/60</b>		<b>A3</b>	(11) International Publication Number: <b>WO 98/31155</b>
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(30) Priority Data: <b>08/781,087</b> <b>9 January 1997 (09.01.97)</b> <b>US</b>		(81) Designated States: <b>AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</b>	
(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application <b>US</b> <b>08/781,087 (CIP)</b> <b>Filed on</b> <b>9 January 1997 (09.01.97)</b>		<b>Published</b> <i>With international search report.</i>	
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(54) Title: **MONITORING OF REMOTE FILE ACCESS ON A PUBLIC COMPUTER NETWORK**

## (57) Abstract

On a data network (4), use of remote data resources by users is monitored by rerouting a resource access request message, generated on a client system (1), through a logging module (13), collecting information about the message, and transmitting the message to a remote data resource server (2).



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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/00304**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : G06F 11/34, 17/40, 17/60

US CL : 395/200.54; 364/10

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 395/200.54, 186,187.01; 364/10

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, IEEE proquest, computer Select CD

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,E	US 5,732,218 A (BLAND ET AL) 24 March 1998, see all.	1-24
Y,E	US 5,708,780 A (LEVERGOOD ET AL) 13 January 1998, see col. 3 lines 20-50.	1-24
A,E	US 5,717,860 A (GRABER ET AL) 10 February 1998, see abstract.	1-24

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 ☐ See patent family annex.

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Date of the actual completion of the international search

24 APRIL 1998

Date of mailing of the international search report

17 JUL 1998

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